

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DOUGLAS RUGG )  
 )  
ATTY DKT NO: 5592.1 413420/091 )  
 )  
FILED: OCTOBER 22, 2003 )  
(By Express Mail) )  
 )  
FOR: HAT ASSEMBLY COMPRISING A )  
SELECTED CARRYING MEMBER HAVING )  
A SHAPE AND FOLDABLE HAT )  
 )

---


Commissioner For Patents and Trademarks  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97(a), this Information Disclosure Statement is being filed concurrently with this

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL NO. ER192544936US in an envelope addressed to:  
Commissioner of Patents and Trademarks, P. O. Box 1450, Alexandria, Virginia 22313-1450, on October 22, 2003.

Date of Signing: October 22, 2003

Signature: 

Date of Mailing: October 22, 2003

United States Patent Application and includes copies of Prior Art which appear to be pertinent to the invention.

The filing of the Information Disclosure Statement, in accordance with Paragraph (a) of 37 C.F.R. § 1.97, should not be construed, as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

A Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT comprising ONE (1) sheet is also herewith. Copies of the cited art are likewise included herewith.

#### ADDITIONAL FEES

No additional fees are deemed due herein. However, if any fees are required for any reason, please charge the same to Deposit Account No. 13-2515.

#### REMARKS

None of the prior art described above anticipates, discloses, suggests or teaches the invention disclosed in the Specification and claimed herein.

The Examiner is respectfully requested to consider this prior art and to include the same as listed prior art in the United States Patent issuing hereon.

Respectfully submitted

A handwritten signature in cursive script, appearing to read "Daniel J. Meaney, Jr.", written in dark ink.

Daniel J. Meaney, Jr.

DANIEL J. MEANEY, JR., ESQ.  
P. O. Box 22307  
Santa Barbara, California 93121-2307  
Telephone: (805) 687-6909

Dated: October 22, 2003

269 55921IDSA

FORM PTO-1149  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

5592.1 413204/091

SERIAL NO.

**LIST OF PRIOR ART CITED BY APPLICANT***(Use several sheets if necessary)*

APPLICANT DOUGLAS RUGG

FILING DATE

October 22, 2003

(By Express Mail)

GROUP

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6	3	1	7	8	9	2	11/20/01	Galigani	2	209.11	
	AB	6	2	5	6	7	9	4	07/10/01	Erickson	2	209.11	
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												
	AL												
	AM												
	AN												

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AO													

**OTHER PRIOR ART** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AP		
	AQ		
	AR		

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

269 55921cit1A